

# REDWOOD

PRIVATE WEALTH

## **Privacy Policy**

3930 E. Ray Road ♦ Suite 155, Phoenix AZ 85044  
480.646.3504

<https://redwoodprivatewealth.com/>

<b>IMPORTANT</b>	It is important to understand how Redwood Private Wealth (“Redwood”) handles the sharing and privacy of your Nonpublic Personal Information (“Personal Information”).
<b>Why?</b>	As permitted by law and as outlined in this policy, we may disclose certain Personal Information to Affiliates and Unaffiliated Third Parties that provide services to us or with whom we have contractual relationships in order to effectively and efficiently carry out our everyday business and for certain marketing purposes. Federal law gives you the right to limit some, but not all sharing.
<b>What?</b>	<p>The types of nonpublic personal information we collect and share may include:</p> <ul style="list-style-type: none"> <li>● Name, mailing address and email address;</li> <li>● Social security number;</li> <li>● Investments and account balances; and</li> <li>● Information about your financial situation, including your employment or occupation, banking information, and other financial and tax information.</li> </ul> <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
<b>How?</b>	All financial companies need to share customers’ Personal Information to transact everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information, we identify the ways Redwood shares your information, and whether you can limit our sharing of your Personal Information.

<b>Reasons to share your Personal Information</b>	<b>Does Redwood share?</b>	<b>Can you limit this sharing?</b>
<b>For our everyday business purposes -</b> <i>Example - industry regulators such as the SEC may request information about our business and clients.</i>	Yes	No
<b>For our own marketing purposes -</b>	No.	We don’t share
<b>For joint marketing with other financial companies</b>	No	We don’t share
<b>For our Affiliates’ everyday business purposes</b>	Yes	No
<b>For our Affiliates to market to you</b>	No	We don’t share

<b>For Non-Affiliates to market to you</b>	No	We don't share
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### Steps we take to protect your Personal Information

<b>How does Redwood protect your personal information?</b>	<p>To protect your personal information from unauthorized access and use, we have adopted security measures and procedures designed that comply with federal law to prevent unauthorized use or access to your Personal Information.</p> <p>These measures include computer safeguards and secured files and buildings.</p> <p>We limit access to your personal information to appropriate personnel with a business need for it.</p> <p>We educate our personnel on how to protect your information.</p>
<b>How does Redwood collect your personal information?</b>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>• Open an investment account; and/or</li> <li>• Give us your contact information</li> </ul>

### Definitions

<b>Affiliates</b>	Any Company related to Redwood by common ownership or control.
<b>Non-affiliates</b>	Any Company not related to Redwood by common ownership or control.

### Questions?

Contact your Investment Advisor Representative or:

- Call: 480-646-3504
- Email: [compliance@redwoodprivatewealth.com](mailto:compliance@redwoodprivatewealth.com)
- Mail: Redwood Private Wealth  
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Suite 155  
Phoenix, AZ 85044